

## Patent Application Data Sheet

### Application Information

Application Type: Regular

Subject Matter: Utility

Suggested

Classification:

Suggested Group Art

Unit:

CD-ROM or CD-R?:

Number of CD disks:

Number of copies of CDs:

Sequence submission?:

Computer Readable

Form (CRF)?: Yes

Number of copies of CRF:

Title: RISK MANAGEMENT SYSTEM AND METHOD  
PROVIDING RULE-BASED EVOLUTION OF A  
PORTFOLIO OF INSTRUMENTS

Attorney Docket Number: 11483-174

Request for Early

Publication?: No

Request for Non-Publication?: No

Suggested Drawing Figure: 4

Total Drawing Sheets: 4

Small Entity?: No

Latin Name:

Variety denomination  
name:

Petition included?: No

Petition Type:

Licensed US Govt.

Agency:

Contract or Grant

Numbers:

Secrecy Order in

Parent Appl.?: No

### **Applicant Information**

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### **Representative Information**

#### **Representative**

**Customer Number:** 001059

### **Domestic Priority Information**

<b>Application:</b>	<b>Continuity Type:</b>	<b>Parent Application:</b>	<b>Parent Filing Date:</b>
	Continuation	09/324,920	06/03/1999

### **Foreign Priority Applications**

<b>Country:</b>	<b>Application Number:</b>	<b>Filing Date:</b>	<b>Priority Claimed</b>
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### **Assignee Information**

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